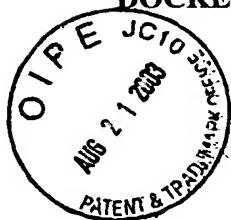


DOCKET NO.: DRPK-0003

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Phillip Kim

Application No.: 10/616,247

Filing Date: July 9, 2003

For: **SELECTIVE PERIPHERAL NERVE PLEXUS IMPLANTABLE INFUSION
DEVICE AND METHOD**

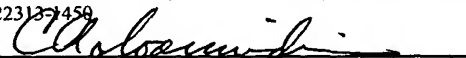
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Group Art Unit: Not Yet Assigned

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REGISTRATION NO.: 54,195

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

☐ In accordance with § 1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached;

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☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

☒ Copies of references listed on the attached Form PTO-1449 are enclosed herewith

EXCEPT THAT:

☒ In view of the voluminous nature of references **22 thru 24**, and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

☐ In accordance with § 1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. § 120 have been made in the instant application:

☐ Copies of references **[list as appropriate]** listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior Application No. _____, filed _____.

DOCKET NO.: DRPK-0003


- 4 -

PATENT

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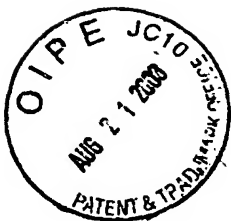
There are no listed references which are not in the English language.

Date: 8/15/2003

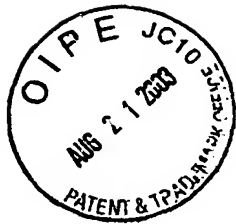

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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. DRPK-0003		Application No. 10/616,247	
	Applicant Philip S. Kim			
	Filing Date July 9, 2003		Group Not Yet Assigned	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)				
	1	Azad, S.C. et al., "Continuous Axillary Brachial Plexus Analgesia with Low Dose Morphine in Patients with Complex Regional Pain Syndromes", 2000 , 17, 185-188		
	2	Boezaart, A.P. et al., "Anesthesia Technique, A New Technique of Continuous Interscalene Nerve Block", <i>Can J Anesth</i> , 1999 , 46(3), 275-281		
	3	Chan, V.W.S. "Continuous Intercostal Nerve Block", <i>Postoperative Pain Management</i> , 1993 , 15, 365-379		
	4	Chan, V.W.S. et al., "Continuous Thoracic Paravertebral Block", <i>Postoperative Pain Management</i> , 1993 , 403-415		
	5	Concepcion, M. "Continuous Brachial Plexus Catheter Techniques", <i>Postoperative Pain Management</i> , 1993 , 343-363		
	6	Douglas, I. et al., "The Use of Patient-Controlled Boluses of Local Anaesthetic Via a Psoas Sheath Catheter in the Management of Malignant Pain", <i>Pain</i> , 1999 , 82, 105-107		
	7	Ekatodramis, G. M.D. et al., "Continuous Interscalene Analgesia with Ropivacaine 2 mg/ml after Major Shoulder Surgery", <i>Anesthesiology</i> , 2003 , 98, 143-150		
	8	Garber, J.E. M.D. et al., "Spinal Administration of Nonopiate Analgesics for Pain Management", 2001 , 61, 621-626		
	9	Heavner, J.E. DVM, PhD. et al., "Peripheral Nerve Stimulation: Current Concepts", <i>Interventional Pain Management</i> , 2001 , 57(2 nd edition), 588-592		
	10	Hfeld, B.M, M.D., et al. "Continuous Infraclavicular Brachial Plexus Block for Postoperative Pain Control at Home", <i>Anesthesiology</i> , 2002 , 96, 1297-1304		
EXAMINER		DATE CONSIDERED		



Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office		Docket No. DRPK-0003	Application No. 10/616,247
		Applicant Philip S. Kim	
		Filing Date July 9, 2003	Group Not Yet Assigned
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	21	Vranken, J.H., M.D., et al. "Continuous Brachial Plexus Block as Treatment for the Pancoast Syndrome", <i>The Clinical Journal of Pain</i> , 2000 , 16, 327-333	
*	22	Brown, D.L., <i>Regional Anesthesia and Analgesia</i> , 1996 , 1 st edition	
*	23	Katz, J., <i>Atlas of Regional Anesthesia</i> , 1994 , 2 nd edition	
*	24	Waldman, S.D., <i>Interventional Pain Management</i> , 2001 , Chapter 57, 2 nd edition	
EXAMINER		DATE CONSIDERED	

* A Copy of this reference will not be forward to the U.S. Patent and Trademark Office since it is believed to be too voluminous and easily obtainable by the Examiner